## Appendix 4 – Working Group Representation

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|---|---|---|
| **Chair** | Dan Waters | Director,<br>Conduct Risk Division<br>Financial Services Authority<br>United Kingdom |

**Industry Experts**

| | | |
|---|---|---|
| Advent International | Desmond Mitchell | EU Operations Director |
| APAX Partners | Monique Cohen | Partner |
| Brunswick | Philippe Brunswick | Partner |
| Capvis Equity Partners AG | Felix Rohner | Partner |
| EQT Partners | Bjorn Hoi Jensen | Senior Adviser |
| KPMG LLP | Vincent Neate | Partner<br>EVCA Professional Standards Committee |
| Nomura Asset Management | Shigeki Fujitani | Senior Managing Director |
| Pantheon – Russell PE | Alastair Bruce | Managing Partner |
| Permira Advisers LLP | Christopher Crozier | Chief Risk Officer |
| SJ Berwin LLP | George Pinkham | Partner |
| The Carlyle Group | Christopher Finn | Managing Director |
| Travers Smith LLP | Margaret Chamberlain | Partner |
| BVCA | | Chair, Reg. Committee |
| 3i | Steve Hicks | Group Compliance Director |

**Regulators**

| | |
|---|---|
| AMF, France | Laurent Grillet-Aubert |
| SEBI,India | R K Nair |
| FSA, Japan | Shoko Ishizaki |
| CMVM, Portugal | Gabriela Figueiredo Dias |

| | |
|---|---|
| Spain CNMV | Lucia Conde Vidal |
| | Javier Fresno |
| FINMA, Switzerland | Dr Felix L Stotz |
| FSA, United Kingdom | David Bailey |
| | Matthew Fann |
| | Douglas Hull |
| | Adam Jacobs |
| | James Packer |